Michael C. Yates

Office: SMC 424

Email: <u>yates@utk.edu</u>

916 Volunteer Blvd Knoxville, TN 37996

EDUCATION

University of Texas at Austin, Ph.D. in Finance, May 2007

University of Alabama, M.A. in Banking and Finance, May 2002

University of Alabama, B.S. in Commerce and Business Administration, Summa Cum Laude, May 2001

ACADEMIC APPOINTMENTS

University of Tennessee, Knoxville, Clinical Associate Professor, August 2020 – Present

Georgia State University, Clinical Assistant Professor of Finance, August 2012 – May 2020

Auburn University, Assistant Professor of Finance, August 2007 – May 2011

University of Texas at Austin, Assistant Instructor, Summer 2005

TEACHING AWARDS

Home Federal Excellence in Teaching Award, 2022

Endowed award determined by departmental faculty vote to "award a faculty member for their outstanding work in the classroom"

Allen H. Keally Excellence in Teaching Award – Finalist, 2022

Chancellor's Teaching and Learning Award – Semifinalist, 2022

University-wide award selected by the Teaching and Learning Committee of the Faculty Senate

MBA First-Year Faculty Award, 2021

Awarded annually to a teacher of first-year classes in the UTK MBA program, as voted by students

MBA Second-Year Faculty Award - Finalist, 2021 & 2022

Top Professor Award, 2020

Awarded annually to a teacher in the MS Finance program at Georgia State University, as voted by students

Fischer Black Distinguished Finance Professor Award, 2019

Awarded by the TFE Times

Faculty Recognition Award for Distinguished Contributions in Teaching, 2018

Awarded annually to a teacher in the J. Mack Robinson School of Business, as voted by faculty committee

Colonial Company Teaching Excellence Award, 2008 & 2009

\$5,000 endowed prize awarded to a teacher in the Auburn University College of Business, as voted by faculty committee

Student FMA Professor of the Year, 2008, 2009 & 2010

Awarded to a teacher in the Department of Finance at Auburn University, as voted by student FMA organization

Fred Moore Assistant Instructor Award for Teaching Excellence, 2006

Awarded to an assistant instructor in the McCombs School of Business at the University of Texas at Austin, as voted by faculty committee

COURSES TAUGHT

University of Tennessee, Knoxville

Honors Financial Management, FINC 308 (honors, undergraduate)

Investments and Portfolio Management, FINC 425 (undergraduate)

Honors Investments and Portfolio Management, FINC 427 (honors, undergraduate)

Investment Analysis and Portfolio Management, FINC 525 (graduate)

Financial Management I, FINC 505 (graduate)

Financial Management II, FINC 506 (graduate)

Georgia State University

Valuation of Financial Assets, FI 8000 (graduate)

Global Portfolio Management, FI 8240 (graduate)

Directed Readings in Finance, FI 8389 (graduate)

Honors Fundamentals of Valuation, FI 4000 (honors, undergraduate)

Fundamentals of Valuation, FI 4000 (undergraduate)

Global Portfolio Management, FI 4240 (undergraduate)

Field Studies in Finance, FI 4391 (undergraduate)

Auburn University

Investments, FINC 3640 (undergraduate)

University of Texas at Austin

Business Finance, FIN 357 (undergraduate)

PUBLISHED PEER-REVIEWED ARTICLES

- Fendler, R., Godbey, J., and Yates, M. (2022). Proof That a Simple Positive Approach Can Reduce Student Cheating, *Journal of Instructional Pedagogies*, Vol. 28.
- Fendler, R., Godbey, J., and Yates, M. (2018). Observing and Deterring Social Cheating on College Exams, *International Journal for the Scholarship of Teaching and Learning*, Vol. 12: No. 1, Article 4.
- Parsons, C., Sulaeman, J., Yates, M. and Hamermesh, D. (2011). Strike Three: Discrimination, Incentives and Evaluation, *American Economic Review* 101, p. 1410-1435.

OTHER PUBLICATIONS

Exam IFM Online Seminar and Study Manual (2018), *The Infinite Actuary*Best-selling online actuarial seminar that generated over \$3 million in sales for the period 2011-2022

Exam 3F/MFE Online Seminar and Study Manual, 3rd ed. (2016), The Infinite Actuary

Exam 3F/MFE Online Seminar and Study Manual, 2nd ed., (2015), *The Infinite Actuary*

Exam 3F/MFE Online Seminar and Study Manual (2011), The Infinite Actuary

WORKING PAPERS

The Importance of Family: The Role of Mutual Fund Family Reputation in Investment Decisions (with Will Gerken and Laura Starks)

How Does Attention Affect Stock Returns?

PAPERS PRESENTED AT PROFESSIONAL MEETINGS

Does Signing an Honor Code Reduce Cheating? An Empirical Study Financial Education Association, 2019

Observing and Deterring Social Cheating on College Exams SoTL Commons, 2017 Financial Education Association, 2016 The Importance of Family: The Role of Mutual Fund Family Reputation in Investment Decisions

Eastern Finance Association, 2010

Netspar Pension Conference (Amsterdam, Holland), 2009

European Financial Management Association, 2008

Financial Management Association, 2008

How Does Attention Affect Stock Returns?

Eastern Finance Association, 2010

MEDIA COVERAGE

"Are Baseball Umpires Racist?" Time (August 13, 2007)

"Study: Major League Baseball Umpires Call Strikes Based on Race," Fox News (August 14, 2007)

"A Finding of Umpire Bias Is Small but Still Striking," *The New York Times* (August 19, 2007)

"Baseball: Racism Behind the Plate?" Businessweek (Aug, 30, 2007)

"Study Puts Umpires Under Even Greater Microscope," USA Today (Sept. 10, 2007)

UNIVERSITY SERVICE

University of Tennessee, Knoxville

NTT Search Committee, 2023

Undergraduate Curriculum Committee, 2022 – present

Faculty Co-Advisor, Tennnessee Capital Markets Society, 2022 – present

NTT Search Committee, 2021

Masters Investment Learning Center Committee, 2021 – present

Commencement Representative, 2021

Major-Based Activities Information Sessions Speaker, 2021

Graduate Council, 2020 – present

MBA Committee, 2020 – present

MBA Case Competition Judge, 2020 – 2021

Georgia State University

Faculty Director, Masters in Finance Program, 2018 – 2020

Admissions Committee Chair, Masters in Finance Program, 2018 – 2020

Orientation Coordinator and Speaker, Masters in Finance Program, 2018 – 2020

Panthers on Wall Street Faculty Advisor, 2015 – 2020

Bloomberg Coordinator, 2015 – 2020

Finance Honors Program Field Study/Internship Coordinator, 2014 – 2020

Finance Honors Program Thesis Coordinator, 2014 – 2020

Road to Robinson Graduate Recruiting Events Speaker (monthly), 2018 – 2020 RCB Faculty Learning Community for the Scholarship of Teaching and Learning Member, 2012 – 2020

Faculty Director Panel Discussion Member, Admitted Student Reception, 2019

Commencement Representative, Fall 2012 – 2019

Finance Society Faculty Meet and Greet Participant, 2015 – present

Search Committee for Executive in Residence, 2019

Bridge to Robinson Undergraduate Recruiting Event Speaker, Perimeter College, 2018

Atlanta Business Chronicle CFO of the Year Awards Representative, 2017 – 2018

Private Business Valuation Challenge, Faculty Advisor (2nd place), 2016

RCB Honor's Day Representative, 2016

Dean's Office Scholarships Ad Hoc Committee Member, May 2015

RCB Alumni Awards Representative (annual), 2013 – 2016

Finance Honors Program Thesis Advisor (Mark Ross), 2014 – 2015

Panther Preview Guest Lecturer, October 2014

RCB Hall of Fame Banquet Representative (annual), 2013 – 2014

Auburn University

Instruction Committee

IT Committee

Search Committee (2008)

TIGER Lab Planning Committee

Promotion and Tenure Guidelines Committee

Executive Society Volunteer Judge

Beta Gamma Sigma Initiation Volunteer

Commencement Representative

PROFESSIONAL SERVICE

Beta Gamma Sigma, Faculty Advisor, 2015 – 2019

Referee

Journal of Financial Education, 2016 & 2017 The Financial Review, 2012

Journal of Financial Economic Policy, 2008

Session Chair

Eastern Finance Association, 2010

Discussant

Eastern Finance Association, 2009

Financial Management Association, 2008